

Retention and Classification Report

Agency: Department of Environmental Quality. Division of Environmental Response and Remediation (1835)
195 North 1950 West
P.O. Box 144840
Salt Lake City, UT 84114-4840
801-536-4100

Records Officer: _____

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AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26189

3

TITLE: Brownfields case files

DATES: 1999-

ARRANGEMENT: Numerical by facility identification number.

ANNUAL ACCUMULATION: 5.00 cubic feet.

DESCRIPTION:

This series contains records compiled by the agency in the process of conducting oversight and cleanup work at sites in which Brownfields funding has been appropriated. As defined by the EPA, a brownfields site "means real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant." The records in the series are used as a reference in the assessment and cleanup of Brownfields sites. Records in the series include site case files and associated records.

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26189

TITLE: Brownfields case files

(continued)

APPRAISAL:

These records have administrative, and/or historical value(s).

These records have historical value as they provide evidence of governmental deliberations, decisions, and actions relating to major environmental issues; they also provide evidence of the significant effects of governmental programs and actions on individuals, communities, and the natural and man-made environment.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. Utah Code 63G-2-302(1)(i) and (2)(d) (2019)

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 03/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19529

3

TITLE: Community right to know chemical information

DATES: 1987-

ARRANGEMENT: Alphabetical by company name, thereunder chronological.

ANNUAL ACCUMULATION: 4.00 cubic feet.

DESCRIPTION:

These records provide summarized information regarding chemical inventories filed by Utah companies. They provide information on any emissions to the environment. The files may contain material safety data sheets, Tier I and II inventory forms, chemical release inventory forms (EPA FORM R), and correspondence. The information contained in the file includes the name of the company, chemical names, amounts and locations where the chemicals are located, hazards, and emissions information. The series is retained to meet the requirements of 40 CFR 370 - Hazardous Chemical Reports: Community Right-To-Know.

RETENTION:

Permanent. Retain for 20 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1998

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 20 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 20 years and then transfer to State Archives with authority to weed.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19529

TITLE: Community right to know chemical information

(continued)

APPRAISAL:

These records have administrative, and/or historical value(s).
This disposition is based on the historical environmental and hazardous chemical information contained in these records. These records provide evidence of governmental deliberations, decisions, and actions relating to major environmental issues.

PRIMARY DESIGNATION:

Public 40 CFR 370 Hazardous chemical reports

SECONDARY DESIGNATION(S):

Exempt. 42 CFR Chapter 116; Utah Code 53-2a-702 (2013), Location of hazardous materials

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 12/2019.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27280

3

TITLE: Decontamination specialist certification program files

DATES: 2006-

ARRANGEMENT: Numerically by certification number

DESCRIPTION:

These records track certified methamphetamine lab decontamination specialists. Files include applications, citizenship verification, renewals, correspondence, certification results, and other information on individuals who have obtained certification. Files also include examinations, results, answer sheets, and other information that may be used to evaluate possible revocation of a certificate.

RETENTION:

Retain for 5 year(s) after end of project or program

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 04/2013

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years after certification expires and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative, and/or legal value(s).

These records have legal value as they contain information to ensure that decontamination specialists are certified as required by law. Utah Code 19-6-906, UAC R311-500.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27280

TITLE: Decontamination specialist certification program files

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. UCA 63G-2-302(1)(f)

Protected. UCA 63G-2-305(5)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27247

3

TITLE: Enforceable written assurances

DATES: 2005-

ARRANGEMENT: Chronological, thereunder numerical by assurance letter number.

DESCRIPTION:

These records document the agency's mandate in regards to enforceable written assurances (EWAs), as described in Utah Code 19-6-326(2008) and Utah Administrative Code R311-600(2019). An enforceable written assurance is provided to an applicant--either a bona fide prospective purchaser, contiguous property owner, or innocent landowner--as an assurance that the Department will not initiate any enforcement actions (detailed within that same part of the law), provided the applicant maintains the land according to the agreement entered into.

Records may include applications, correspondence, assurance letters, and environmental site assessment reports.

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the Records Management Committee.

APPROVED: 04/2020

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27247

TITLE: Enforceable written assurances

(continued)

APPRAISAL:

These records have administrative, and/or historical value(s).

These records have historical value as they provide evidence of governmental deliberations, decisions, and actions relating to major environmental issues; they also provide evidence of the significant effects of governmental programs and actions on individuals, communities, and the natural and man-made environment.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. Utah Code 63G-2-302(2)(d)(2019)

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 04/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19530

3

TITLE: Environmental assurance payment coupon reports

DATES: 1997-

ARRANGEMENT: Chronological by date paid

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

These records document the petroleum storage tank fees as required under UCA 19-6-411(1). The series consist of two reports. The first is Report Type 250 which is filed on an annual basis and is used to calculate the minimum amount of payment due for the throughput of petroleum products less than 50,000 gallons per tank annually. The second report is Report Type 005 which is filed monthly and is used to calculate the amount of payment due on throughput of petroleum products on all tanks that have a throughput of over 50,000 gallons in a fiscal year. Both reports contain the owner identification number, date, facility name, total number of gallons delivered, the amount of the fee, any applicable interest from late payments, facility number, number of tanks, name of owner, total payment due, payment due date and authorized signature.

RETENTION:

Retain for 8 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 03/1998

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year and then transfer to State Records Center. Retain in State Records Center for 7 years and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19530

TITLE: Environmental assurance payment coupon reports

(continued)

APPRAISAL:

These records have administrative, fiscal, and/or legal value(s).

This disposition is based on administrative needs expressed by the agency to document the payment history of petroleum storage tank owners.

PRIMARY DESIGNATION:

Protected UCA 63G-2-305(2)(c) and UCA 63G-2-309(1)(a)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27282

3

TITLE: Environmental incident notification reports

DATES: 1987 -

ARRANGEMENT: Numerical by spill report number

DESCRIPTION:

These records provide summarized information regarding chemical releases, air pollution control of lies malfunctions, and other environmental incident reports. The incidents are reported as required by state and federal environmental statutes. The information includes: name of the reporting company, incident summary, types and amounts of chemical spilled, and event location.

RETENTION:

Permanent. Retain for 20 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Publications, GRS-1678.

AUTHORIZED: 10-30-2018

FORMAT MANAGEMENT:

Paper: For records beginning in 1987 through 2019. Retain in Office for 3 years and then transfer to State Records Center. Retain in State Records Center for 17 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 20 years and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, historical, and/or legal value(s). These reports are required by state and federal law to track environmental hazards, chemical spills, and pollution events.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27282

TITLE: Environmental incident notification reports

(continued)

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 11/2019.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 13161

3

TITLE: Executive correspondence

DATES: 1989-

ARRANGEMENT: Chronological

DESCRIPTION:

Correspondence documents the executive decision-making process of the agency's director and highlights the efforts made to protect public health and Utah's environment through cleanup of chemically contaminated sites, and by ensuring that underground storage tanks are used properly.

RETENTION:

Permanent. Retain until separation

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule State agency executive correspondence, GRS-1758.

AUTHORIZED: 07-12-2018

FORMAT MANAGEMENT:

Paper: Retain in Office until separation and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office until separation and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).
Records provide valuable insight into the agency's development and direction as it works to cleanup chemically contaminated sites and ensure that underground storage tanks are used properly.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 13161

TITLE: Executive correspondence

(continued)

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 08/2016.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 25887

3

TITLE: Financial ability analysis for cost recovery reports

DATES: 2000-

ARRANGEMENT: Alphabetical by name of individual

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These reports are created to effectively analyze and document the financial ability of a responsible party to clean up leaking underground storage tank contamination, or to reimburse the State for cleaning up the contamination in accordance with Utah Code sections 19-6-420, 19-6-418 and 19-6-425 (2005). Based upon the analysis the responsible party may be allowed to settle for less than would otherwise be due or to receive a reduction in penalties. Specific types of documents include asset and liability schedules, and tax returns.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 11/2005

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then destroy.

APPRAISAL:

These records have administrative, and/or legal value(s).

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 25887

TITLE: Financial ability analysis for cost recovery reports

(continued)

PRIMARY DESIGNATION:

Private UCA 63G-2-302 (2008)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27391

3

TITLE: Former defense site files

DATES: 2000-

ARRANGEMENT: Alphabetical by site name.

DESCRIPTION:

These records contain information about formerly used defense sites in the state. Information includes correspondence, reports, and documentation of each site.

RETENTION:

Permanent. Retain for 200 year(s) after disposition of asset

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule Agency history records, GRS-1723.

AUTHORIZED: 11-28-2018

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).
These records contain important research and evidentiary information about defense sites.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27391

TITLE: Former defense site files

(continued)

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 03/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 10285

3

TITLE: Hazardous material site-specific records

DATES: 1960-

ARRANGEMENT: Alphabetical by site name, thereunder chronological.

ANNUAL ACCUMULATION: 40.00 cubic feet.

DESCRIPTION:

These records document specific sites where hazardous materials are stored or located in the State of Utah; they're also used by the Division to document the stabilization and/or clean-up of a specific site. The Environmental Protection Agency (EPA) Act which governs these records is 40 CFR 300 (2019).

These records are used to maintain information about hazardous material sites and to monitor the levels of hazardous material at Utah facilities. They're also used to ensure that proper EPA and state laws/rules are maintained by the facilities, as governed by Utah Code 19-6-2(2019), the Hazardous Waste Facility Siting Act.

Records document long term operation, maintenance, and response activities, and may contain operation and maintenance plans, monitoring agreements, sampling and analysis data, inspection reports, site close-out records, administrative records, action memoranda, administrative orders, consent orders, Applicable or Relevant and Appropriate Requirements (ARARs), Remedial Investigation/Feasibility Study, community relations plans, fact sheets, work plans, site reports, health safety plans, proposed general and specific management plans, quality assurance project plans, lab billings, technical advisory information, field logs, site note books, and related correspondence.

The records may also contain background data, including disposal area type, report of engineering studies for proposed sites, plot plans and vicinity maps, engineering recommendations, future site construction plans, laboratory soil testing, soil sampling equipment logs, ground boring log records, water analysis sampling reports, geochemical studies, and geologic records of mine status. Additional records may include the Site Preliminary Assessment and Investigations worksheets and reports created by the department and submitted to EPA, site photos, drawings and location maps, site inspection forms, sample analysis sheets, Hazard Ranking System (HRS) worksheets, and water analysis sampling information.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 10285

TITLE: Hazardous material site-specific records

(continued)

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1994

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years after scanned and quality checked and then transfer to State Records Center. Retain in State Records Center for 197 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, historical, and/or legal value(s). This disposition is based on the historical information contained in these records. The information documents hazardous material sites located in the state, the effects of which are not entirely known, giving these records long-term research value. Some of the information may be duplicated at the federal level.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 10285

TITLE: Hazardous material site-specific records

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Protected. Utah Code 63G-2-305(10)(a,b),(16),(18),(22),(31)(2019)

Controlled. Utah Code 63G-2-304(2008)

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 01/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 24232 3

TITLE: Leaking underground storage tank/comprehensive environmental response, compensation, and liability act site financial files

DATES: 1985-

ARRANGEMENT: Chronological by fiscal year, thereunder numerical by organization number, thereunder alphanumerical by project

ANNUAL ACCUMULATION: 9.00 cubic feet.

DESCRIPTION:

These records contain accounting information from the Division of Environmental Response and Remediation. They are used to maintain and track expenditures charged on various sites and organization numbers used by the Division. The files are subject to audit once remediation of a site is complete or as requested by federal and/or state auditors as per 40 CFR 35, Subpart O, Sec. 7b (2003). Information includes state financial reports, department time sheet summary reports, employee time sheets, correspondence, travel requests, award fees, invoices submitted for payment, contracts, and other backup documentation for expenditures charged to these sites and organization numbers.

RETENTION:

Retain for 200 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 05/2004

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then transfer to State Records Center. Retain in State Records Center for 197 years and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 24232

TITLE: Leaking underground storage tank/comprehensive environmental response, compensation, and liability act site financial files

(continued)

APPRAISAL:

These records have administrative, and/or fiscal value(s).

This retention meets the requirements of both federal and state law and the administrative needs of the agency.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. Utah Code 63G-2-302(1)(g) (2020)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19675

3

TITLE: Leaking underground storage tanks

DATES: 1986-

ARRANGEMENT: Chronological by date of closure.

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These records document the clean-up of leaking underground storage tanks. The records are used by the Department to document the stabilization and clean-up of hazardous materials located at various sites. Records include correspondence, operation and maintenance plans, inspection reports, sampling reports, remedial investigation/feasibility studies, site reports, field logs, site maps, preliminary assessment reports, etc. The files are retained to meet the requirements of the Environmental Protection Agency as outlined in 40 CFR 300 (1992) and state requirements as outlined in Utah Code 19-6-2 (2019) - Hazardous Waste Facility Siting Act.

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 05/1998

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19675

TITLE: Leaking underground storage tanks

(continued)

APPRAISAL:

These records have administrative, historical, and/or legal value(s).

This disposition is based on the historical information contained in these records. The effects of hazardous materials are not entirely known, giving these records long-term research value.

These records also have historical value as they provide evidence of governmental deliberations, decisions, and actions relating to major environmental issues; they also provide evidence of the significant effects of governmental programs and actions on individuals, communities, and the natural and man-made environment.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. Utah Code 63G-2-302(1)

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 03/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 17663

TITLE: Petroleum facility production declarations

DATES: 1994-

ARRANGEMENT: Numerical by facility identification number, thereunder chronological by year

ANNUAL ACCUMULATION: 4.00 cubic feet.

DESCRIPTION:

3

These are declarations from owner/operators of Petroleum storage tank companies. UCA 19-6-411 (1995) regulates the fee structure for these companies based on the amount of production. Information includes production and fee amounts, petroleum types produced, underground storage tank installations and installation fees, number of tanks in production, and late penalties fees assessed.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/1997

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years after after submission and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative, and/or fiscal value(s).

This disposition is based on the short term fiscal and administrative need for the records.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 17663

TITLE: Petroleum facility production declarations

(continued)

PRIMARY DESIGNATION:

Protected UCA 63G-2-305(2)(2008)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27173

1

TITLE: Petroleum storage tank alternate responsibility documents

DATES: 1997-

ARRANGEMENT: Alphabetical by owner name, thereunder chronological.

DESCRIPTION:

These records document underground storage tank (UST) owners or operators who do not participate in the petroleum storage tank fund established in Utah Code 19-6-409 (2018): Petroleum Storage Tank Trust Fund created, and described in Utah Administrative Code R311-206 (2017): Underground Storage Tanks: Certificate of Compliance and Financial Assurance Mechanisms. The records show that the UST owners or operators have sufficient resources to clean up UST releases.

RETENTION:

Retain for 3 year(s) after disposition of asset

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is proposed and has not yet been approved.

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years after disposition of asset and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative value(s).

Records required pursuant to 40 CFR 280 and State Rules R311-206-5.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 27173

TITLE: Petroleum storage tank alternate responsibility documents

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 23250

3

TITLE: Petroleum storage tank fund loan files

DATES: 1994-

ARRANGEMENT: Alphabetical by applicant's name.

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These records contain loan information pertinent to a particular loan applicant. Information includes eligibility application, financial application, and supporting documentation. The supporting documents may include tax returns, financial statements, promissory notes, trust deeds, construction contracts, and correspondence.

RETENTION:

Retain for 3 year(s) after final action

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/2001

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then destroy.

Computer data files: Retain in Office for 3 years after satisfaction of loan and then delete.

APPRAISAL:

These records have administrative, fiscal, and/or legal value(s).

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 23250

TITLE: Petroleum storage tank fund loan files

(continued)

PRIMARY DESIGNATION:

Private UCA 63G-2-302(2)(b)(2008) individual finances.

SECONDARY DESIGNATION(S):

Public. loan amount, eligibility application, construction contracts, promissory notes, trust deeds.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 21266

3

TITLE: Reference correspondence

DATES: 1990-

ARRANGEMENT: Numerical by ERR number

ANNUAL ACCUMULATION: 1.50 cubic feet.

DESCRIPTION:

The division distributes correspondence received from various scientists about the clean up of chemically contaminated sites. Correspondence covers a wide variety of topics including facility monitoring , scientific opinions, underground storage tanks, application of the Comprehensive Environmental Response Compensation and Liability Act, and division accounting and administration. Records document the distribution and revision of the correspondence.

RETENTION:

Retain for 7 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule State government routine administrative correspondence, GRS-48.

AUTHORIZED: 11-18-2019

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

Computer data files: Retain in Office for 7 years and then delete.

APPRAISAL:

These records have administrative value(s).

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 21266

TITLE: Reference correspondence

(continued)

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 11/2016.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26185

3

TITLE: Underground storage tank certification files

DATES: 1989-

ARRANGEMENT: Alphabetical by name of facility or last name of property owner

ANNUAL ACCUMULATION: 5.00 cubic feet.

DESCRIPTION:

This series contains documents compiled by the agency in the process of certifying individuals who do work administered through the underground storage tank program. The records in this series are used to ensure individuals are properly certified in accordance with state rules. Records include examinations, results, answer sheets, financial assurance documents, applications, and citizenship verification forms. Additionally, the records provide evidence of experience, education and training, as well as compliance and enforcement.

RETENTION:

Retain for 5 year(s) after expiration of permit or license

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years after certification expires and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative, and/or fiscal value(s).
Registration is effective for a period of three years.
Applications for renewal may be made not more than six months prior to expiration and up to two years after expiration. The five-year retention covers both possible situations. The renewal

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26185

TITLE: Underground storage tank certification files

(continued)

period is equal to the initial registration period. (See Administrative Rules, R311-201-12 (J)(2)(D)(3)(A) through (3)(C).)

RETENTION JUSTIFICATION:

R311. Environmental Quality, Environmental Response and Remediation. R311-201. Underground Storage Tanks: Certification Programs and UST Operator Training. R311-201-3. Application for Certification. (c) Certification for all certificate holders shall be effective for a period of two years from the date of issuance, unless revoked before the expiration date pursuant to Section R311-201-9 or inactivated pursuant to Section R311-201-8. Certificates shall be subject to periodic renewal pursuant to Subsection R311-201-5. R311-201-5. Renewal. (c) Renewal certificates shall be issued for a period equal to the initial

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Protected.	UCA 63G-2-305(5) (2008)
Private.	UCA 63G-2-302(1)(h) and (2)(d) (2008)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19803

3

TITLE: Underground storage tank compliance case files

DATES: 1986-

ARRANGEMENT: Numerical by site number

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These records contain documents submitted to the agency to determine the compliance status of facilities, owners, operators, and other certified individuals. The records are part of the process of inspecting facilities with underground storage tanks. The records are retained long enough to ensure compliance of such sites with state and federal regulations. Information includes: correspondence, leak detection records, cathodic protection records, third party performance claims, site diagrams, compliance documentation, proof of insurance, site sampling results, and similar documentation.

RETENTION:

Retain for 1 year(s) after case is closed

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 05/1998

FORMAT MANAGEMENT:

Paper: Retain in Office for 1 year after compliance case closes and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative, and/or legal value(s).
These records are kept to comply with state and federal laws: 40 CFR 300(1992) and UCA 19-6-201(1996) Hazardous Waste Facility Siting Act.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 19803

TITLE: Underground storage tank compliance case files

(continued)

PRIMARY DESIGNATION:

Public

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26188

3

TITLE: Underground storage tank level of effort contract files

DATES: 1991-

ARRANGEMENT: Chronological, thereunder alphabetical by consultant name.

ANNUAL ACCUMULATION: 5.00 cubic feet.

DESCRIPTION:

This series contains documents compiled by the agency as a representative to the Utah Solid and Hazardous Waste Control Board. The records in this series are used by the agency to collect information required by the Utah Solid and Hazardous Waste Control Board in approving contracts. Records in this series include agendas, proceedings, minutes, handouts, and underground storage tank and CERCLA level of effort contracts.

RETENTION:

Retain for 13 year(s) after expiration of contractual agreement

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years after contract expires and then transfer to State Records Center. Retain in State Records Center for 10 years and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative value(s).

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26188

TITLE: Underground storage tank level of effort contract files

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. UCA 63G-2-302(1)(h) and 63G-2-302(2)(d)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26186

3

TITLE: Underground storage tank local health department contract files

DATES: 1991-

ARRANGEMENT: Alphabetical by local health department, thereunder chronological

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

This series contains documents compiled by the agency in negotiating work contracts with the local health departments (LHDs) and then monitoring their performance. The records in this series are used by the agency in the annual renewal of LHD contracts and monitor the performance of LHDs. Records in this series include correspondence between the agency and LHDs. These records include monthly and quarterly reports from LHDs to the agency, electronic databases of LHD performance statistics, and copies of LHD inspection checklists. Records in this series are created in accordance with UCA 19-6-404(s)(c) and 19-6-404(2)(i) (2005).

RETENTION:

Retain for 3 year(s) after expiration of contractual agreement

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years after contract expires and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26186

TITLE: Underground storage tank local health department contract files

(continued)

APPRAISAL:

These records have administrative value(s).

PRIMARY DESIGNATION:

Public

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26190

TITLE: Underground storage tank pleadings for adjudicative/apportionment matters files

DATES: 1989-

ARRANGEMENT: Alphabetical by facility name

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

3

The records in this series contain documents pertaining to the adjudicative and/or apportionment matters regarding underground storage tanks and the request for agency action. This record series is used by the agency to process requests for agency action or resolution of adjudicative and/or apportionment matters. Records include pleadings and correspondence related to adjudicative and/or apportionment matters. Also included are materials and documents submitted before the Utah Solid and Hazardous Waste Control Board.

RETENTION:

Permanent. Retain for 25 year(s) after case is closed

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years after after case is closed and then transfer to State Records Center. Retain in State Records Center for 22 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office until administrative need ends and then delete.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26190

TITLE: Underground storage tank pleadings for adjudicative/apportionment matters files

(continued)

APPRAISAL:

These records have administrative, and/or legal value(s).

Records in this series are collected in the process of adjudication .

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 14851

3

TITLE: Underground storage tank records

DATES: 1989-

ARRANGEMENT: Chronological by closure date, thereunder alphabetical by facility ID.

ANNUAL ACCUMULATION: 2.00 cubic feet.

DESCRIPTION:

These records support the agency's function to protect the environment and public health from environmental hazards (Utah Code 19-1-201(5)(b) (2019)). These records document the regulation of gasoline and other underground storage tanks to ensure that the tanks meet or exceed state regulations, and that they are removed or repaired if defective (Utah Code 19-6-403 (2012)). Information may include facility ownership and management information, inspection records, and correspondence.

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 01/2015

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, historical, and/or legal value(s). This disposition is based on the historical and research value of these records, and the environmental impact concerning long term ground contamination.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 14851

TITLE: Underground storage tank records

(continued)

PRIMARY DESIGNATION:

Public

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 03/2020.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26187

3

TITLE: Underground storage tank statement of qualification files

DATES: 1991-

ARRANGEMENT: Chronological, thereunder alphabetical by consultant name

ANNUAL ACCUMULATION: 5.00 cubic feet.

DESCRIPTION:

This series contains documents compiled by the agency as a representative to the Utah Solid and Hazardous Waste Control Board. The records in this series are used by the agency to collect information required by the Utah Solid and Hazardous Waste Control Board in approving Petroleum Storage Tank (PST) funded site contracts and reimbursements. Records in this series include agendas, proceedings, minutes, and handouts.

RETENTION:

Retain for 5 year(s) after final action

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years after work completed and then destroy.

Computer data files: Retain in Office until administrative need ends and then delete.

APPRAISAL:

These records have administrative value(s).

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26187

TITLE: Underground storage tank statement of qualification files

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. UCA 63G-2-302(1)(h) and 63G-2-302(2)(d) (2008)

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26191

3

TITLE: Voluntary Cleanup Program site-specific records

DATES: 1997-

ARRANGEMENT: Numerical by project number.

ANNUAL ACCUMULATION: 8.00 cubic feet.

DESCRIPTION:

These records document voluntary cleanups in Utah under Title 19, Chapter 8 of the Utah Code. They are also used by the Department of Environmental Quality to document the stabilization and/or cleanup of a specific site. The records are used to maintain information about voluntary cleanup sites.

The Voluntary Cleanup Program administrative records include site characterization, remedial action, risk assessment and operation and maintenance workplans, and reports and records which document long term response activities. They also contain correspondence, contamination assessment data, remedial action data, site management data, operation and maintenance plans, site management plans, technical review comment letters, monitoring agreements, inspection reports, site close-out records, voluntary agreements, voluntary cleanup applications, institutional controls, community relations plans, fact sheets, workplans, site reports, health/safety plans, quality assurance project plans, field logs and groundwater monitoring reports.

Additional voluntary cleanup records include site photos, drawings and location maps, site inspection forms, sample analysis sheets, sampling information, background data including disposal area type and operational history, engineering studies for proposed sites, figures outlining pertinent site features, analytical tables, engineering recommendations, future site construction activities, laboratory testing information, soil sampling equipment, soil disposal records, boring logs, sampling reports, geochemical studies and site-specific geologic records.

RETENTION:

Permanent. Retain for 200 year(s)

DISPOSITION:

Transfer to Archives.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

AGENCY: Department of Environmental Quality. Division of Environmental Response and Remediation

SERIES: 26191

TITLE: Voluntary Cleanup Program site-specific records

(continued)

APPROVED: 06/2006

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative value has been met and then transfer to State Records Center. Retain in State Records Center for 200 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 200 years and then transfer to State Archives with authority to weed.

APPRAISAL:

These records have administrative, and/or historical value(s).
These records contain verification of remediation, stabilization, or clean up of specific sites of contamination throughout the state, which documents evidence of the significant effects of governmental programs and actions on individuals, communities, and the natural and man-made environment.

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Private. Utah Code 63G-2-302(2)(d)

REVIEW AND UPDATE STATUS:

This report was reviewed and updated on 03/2020.