

Retention and Classification Report

Agency: Department of Transportation. Employee Safety (2430)

4501 South 2700 West
Salt Lake City, UT 84119-5998
801-965-4715

Records Officer: _____

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| 06763 | Employer's report of injury or occupational disease |
| 25731 | Insurance subrogation records |
| 10308 | Litigation-related daily correspondence |
| 09135 | Loss control reports |
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AGENCY: Department of Transportation. Employee Safety

SERIES: 6763

4

TITLE: Employer's report of injury or occupational disease

DATES: i 1985-

ARRANGEMENT: None

DESCRIPTION:

This is the agency copy of the report documenting an injury to an employee while on the job and the nature of the accident. The original is filed with the Office of Worker's Compensation and a copy is sent to the Industrial Commission of Utah to claim worker's compensation. This report includes the date, time, and nature of the accident or diagnosis of occupational disease; extent of injury or fatality; information identifying employer and place of employment; names and addresses of witnesses and attending physician; and the following data elements concerning the injured employee: age, current and past addresses, date of birth, employer, job position information (grade/step, etc.), marital status, medical information, name of kin, number of children, occupation, salary, sex, social security number, telephone number, and any past injuries incurred on the job.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 10/1986

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 3 years and then destroy.

APPRAISAL:

These records have administrative value(s).
General Schedule 1, Item 31 lists a retention of 5 years.

AGENCY: Department of Transportation. Employee Safety

SERIES: 6763

TITLE: Employer's report of injury or occupational disease

(continued)

PRIMARY DESIGNATION:

Private

AGENCY: Department of Transportation. Employee Safety

SERIES: 25731

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TITLE: Insurance subrogation records

DATES: 1976-

ARRANGEMENT: Chronological by year thereunder numerical by claim number.

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These files contain information relating to insurance claims filed when damage is sustained to the department's motor vehicles or highway infrastructure. Records document the process used in seeking recovery for damages. Files may contain insurance claim forms, accident reports, description of damages, injury reports, correspondence, and attorney work product.

RETENTION:

Retain for 7 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 04/2005

FORMAT MANAGEMENT:

Paper: Retain in Office for 2 years and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

APPRAISAL:

These records have administrative, and/or legal value(s). This disposition is based upon the legal needs of the department to justify claims for recovery when property damages are incurred.

AGENCY: Department of Transportation. Employee Safety

SERIES: 25731

TITLE: Insurance subrogation records

(continued)

PRIMARY DESIGNATION:

Public

SECONDARY DESIGNATION(S):

Protected. U.C.A. 63G-2-305 (2008)

AGENCY: Department of Transportation. Employee Safety

SERIES: 10308

1

TITLE: Litigation-related daily correspondence

DATES: 1980-

ARRANGEMENT: None

ANNUAL ACCUMULATION: 0.20 cubic feet.

DESCRIPTION:

Business-related messages that document work accomplished, transactions made, or actions taken. These records do not offer unique information about agency functions, programs, or policies, though they may cite them. These records may originate on paper, electronic mail, or other media.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is proposed and has not yet been approved.

FORMAT MANAGEMENT:

Paper: Retain in Office until administrative needs end and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

APPRAISAL:

These records have administrative value(s).

The retention is based on the administrative needs expressed by the agency. Litigation is usually completed after five years.

PRIMARY DESIGNATION:

Protected UCA 63G-2-305 (14) (15) (16) (22)

AGENCY: Department of Transportation. Employee Safety

SERIES: 9135

4

TITLE: Loss control reports

DATES: ca. 1980-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 2.00 cubic feet.

DESCRIPTION:

These records are prepared at the request of the Attorney General litigation division or Risk Management to help provide the state's defense for Tort Liability claims or summons and complaints. Information includes date and location of accident, names, addresses, phone numbers, witnesses, a fatal accident narrative, accident diagram, investigating officer's report, photographs, attorney client work product, defense strategy, design plans, traffic/accident history, and any information requested by the attorney assigned to the case, or as may be provided by statutory discovery rules. The record copy is maintained by the division of Risk Management.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 03/1993

FORMAT MANAGEMENT:

Paper copy: Retain in Office for 5 years and then destroy.

APPRAISAL:

These records have legal value(s).

This disposition is based on the perceived legal need expressed by the agency.

AGENCY: Department of Transportation. Employee Safety

SERIES: 9135

TITLE: Loss control reports

(continued)

PRIMARY DESIGNATION:

Protected

AGENCY: Department of Transportation. Employee Safety

SERIES: 9137

4

TITLE: Monthly first aid treatment reports

DATES: ca. 1980-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

Records created monthly by individual supervisors for each case offirst-aid administered, to establish eligibility involving claims filed with the Workers Compensation Fund of Utah and to develop remedial programs to correct employee work practices and improvement of equipment or work environment. Reports are medical records for minor injuries not requiring treatment at a medical facility. Information includes date of injury, name of injured employee, occupation, type of injury, description of accident, treatment, and disposition.

RETENTION:

Retain for 3 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 03/1993

FORMAT MANAGEMENT:

Paper: Retain in Office for 3 years and then destroy.

APPRAISAL:

These records have administrative, and/or fiscal value(s).

This disposition is based on the administrative needs expressed by the agency. The records are also subject to audit.

AGENCY: Department of Transportation. Employee Safety

SERIES: 9137

TITLE: Monthly first aid treatment reports

(continued)

PRIMARY DESIGNATION:

Private

AGENCY: Department of Transportation. Employee Safety

SERIES: 9141

4

TITLE: State employee traffic accident reports

DATES: ca. 1980-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

These records are created by Loss Control when an employee is involved in a traffic accident. They identify the cause of the accident and establish the state liability in order for Risk Management to adjudicate the claim and for the Attorney General to defend the Department of Transportation in litigation. Information includes employee name, address, social security number, vehicle description, description of how or why an accident occurred, name of third parties, witnesses, insurance companies, extent of damage, accident diagrams, repair estimates, photographs, and the investigation officer's report.

RETENTION:

Retain for 5 year(s)

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 03/1993

FORMAT MANAGEMENT:

Paper: Retain in Office for 5 years and then destroy.

APPRAISAL:

These records have administrative, and/or legal value(s). This disposition is based on the administrative needs expressed by the agency. Litigation is usually completed within five years.

AGENCY: Department of Transportation. Employee Safety

SERIES: 9141

TITLE: State employee traffic accident reports

(continued)

PRIMARY DESIGNATION:

Protected